



民銀證券有限公司 CMBC Securities Company Limited
民銀國際期貨有限公司 CMBC International Futures Company Limited

Principal office: 45/F One Exchange Square, 8 Connaught Place, Central, Hong Kong

主要辦公室: 香港中環康樂廣場8號交易廣場一期45樓

Tel. 電話: +852 3728 8000 Fax 傳 真: +852 3753 3688 Website 網址: <https://www.cmbccap.com>

生效日期: 二零二五年六月二十五日

香港股票收費表

交易費用

交易佣金-電子交易	成交額的0.25% (最低收費: HK\$100)
交易佣金-人工下單 / 碎股交易佣金	成交額的0.25% (最低收費: HK\$100)
印花稅	成交額的0.1% (不足HK\$1亦作HK\$1計算)
交易徵費 (證監會)	成交額的0.0027%
會財局交易徵費	成交額的0.00015% (計至最接近的仙位數)
交易費 (港交所)	成交額的0.00565%
結算所交收費	成交額的0.002% (最低收費: HK\$2, 最高收費: HK\$100)

存管服務

存貨: 實物股票	每張轉手紙釐印費HK\$5
交收指示 / 投資者交收指示	豁免
提貨: 實物股票	每手5港元, 另加每隻股票50港元
交收指示 / 投資者交收指示	每隻股票上日收市價計算股票總值0.05%, 最低200港元
強制性補購股份	補購金額的0.5% (最低收費: HK\$100) 及各項交易收費, 另加香港中央結算罰款 (以T+2收市價計算之交易額的 25%)

代理人服務

登記及過戶	每手HK\$1.50 (碎股視作一手計算, 代香港中央結算收取, 不設上限)
收取現金股息	股息總值的0.22%, 最低: HK\$30, 最高收費: HK\$10,000
收取紅股/供股權/以股代息	每次HK\$50

企業行動

認購及行使供股權證 / 認股權證; 收購、私有化等	每手HK\$1 (碎股視作一手計算), 最高收費: HK\$5,000 另加手續費每宗HK\$100及印花稅 (如適用)
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追收股東權益

追收股息、紅股、供股權及其他股東權益	每隻股票HK\$500, 每項追收股息, 及其他有關之費用 (追收成功與否均需繳付)
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代收義大利金融交易稅

適用於香港上市之義大利公司	買盤淨金額的0.1%
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其他

新股認購手續費	每宗申請HK\$100
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美國股票收費表

交易費用

(以下收費只適用於2024年9月27日或以前開通美股的賬戶)	
交易佣金-電子交易	每股收費0.0049美元 (最低5美元)
平臺費	每股收費0.005美元 (最低1美元)
交易佣金-人工下單	每股收費0.012美元 (最低10美元)
美國證監會規費(僅賣出時收費)	交易金額的0.00278% (最低0.01美元)(於2025年5月14日取消)
交易活動費 (僅賣出時收費)	每股收費0.000166美元 (最低0.01美元)
綜合審計追蹤費用	每股收費0.000035美元 (最低0.01美元)

交易費用

(以下收費只適用於2024年9月27日以後開通美股的賬戶)	
交易佣金-電子交易	成交額的0.50% (最低收費:10美元)
交易佣金-人工下單	成交額的0.50% (最低收費:10美元)
美國證監會規費(僅賣出時收費)	交易金額的0.00278% (最低0.01美元)(於2025年5月14日取消)
交易活動費 (僅賣出時收費)	每股收費0.000166美元 (最低0.01美元)
綜合審計追蹤費用	每股收費0.000035美元 (最低0.01美元)



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存管服務

存貨: 證券保管服務	豁免
股票存入(經美國存管信託公司 DTC) (本公司不提供實物股票存倉服務)	每隻股票每宗收費10美元
提貨: 股票提取(經美國存管信託公司 DTC)	每隻股票每宗收費150美元
股票實物提取 (需經美國存管信託公司批准)	每隻股票每宗收費150美元 +每隻股票每宗代理收費500美元

代理人服務

代收紅股	每宗2美元
代收股息/ 以股代息	每宗2美元
自願性公司行動	每宗50美元
美國預托證券存管費(經美國存管信託公司)	每股0.01-0.05美元(視乎美國存管信託公司決定)
股息稅	股息之30%

備註: 當日對同一只美股進行多次同方向交易, 該類交易不可以合單, 以多筆訂單計; 同一筆訂單跨日成交的交易, 該類交易不可以合單, 以多筆訂單計。

滬股通/ 深股通股票收費表

交易費用

交易佣金	成交額的 0.1% (最低收費: CNY100)
交易印花稅(國家稅務總局)	只收取賣方成交額的 0.05%
經手費(上交所/深交所收取)	成交額的 0.00341%
證管費(中國證監會收取)	成交額的 0.002%
過戶費(香港結算收取)	成交額的 0.002%
過戶費(中國結算上海/深圳收取)	成交金額 0.001%
證券組合費(香港結算)	持有股值 x 0.008% / 365 日 (每日計算, 按月收取)

存管服務

存貨: 交收指示 / 投資者交收指示	豁免
提貨: 交收指示 / 投資者交收指示	每手 CNY 1.5 (碎股視作一手計算) 每隻股票最低收費: CNY100

代理人服務

收取現金股息	股息總值的 0.20% 最低收費 CNY20; 最高收費 CNY5,000
收取紅股/供股權/以股代息	每次 CNY50

企業行動

認購及行使供股權證 / 認股權證; 收購、私有化等	每手 CNY3 (碎股視作一手計算), 另加手續費每宗 CNY100 及印花稅 (如適用)最高收費 CNY5,000
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債券收費表

交易費用

佣金	銷售利潤不超過名義面值的 3%
交易徵費	成交額的 0.0027%
會財局交易徵費 (證監會-適用於港交所《主機板上市規則》第三十七章上市債券的交易)	成交額的 0.00015% (計至最接近的仙位數)
交易費 (港交所-適用於首次公開發售股份和優先發售股份)	成交額的 0.00565%

其他

託管費	按組合價值之 0.02% (每月按幣別收取) 每月最低收費: HKD50/USD5/CNY40/EUR5
轉倉費	200 萬美元或以內每宗港幣 100 200 萬美元以上每宗港幣 200 (存入可豁免收費)
債轉股費用	每筆港幣 500



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結構性產品收費表	
交易費用	
佣金	銷售利潤不超過名義面值的 3%
其他	
託管費	按組合價值之 0.02% (每月按幣別收取) 每月最低收費: HKD50/USD5/CNY40/EUR5
提取費	200 萬美元或以內每宗港幣 100 200 萬美元以上每宗港幣 200 (存入可豁免收費)
代收印花稅	交收股票金額的 0.1% (不足 HK\$1 亦作 HK\$1 計算)
基金/另類投資收費表	
買賣相關服務	
認購費	受基金的條款及細則所限制
轉換費	受基金的條款及細則所限制
贖回費	受基金的條款及細則所限制
代理人服務	
提取費	200 萬美元或以內每宗港幣 100 200 萬美元以上每宗港幣 200 (存入可豁免收費)

本地期貨			
佣金收費*(每張合約單邊計)	貨幣	即日平倉	非即日平倉
港交所恆生指數期貨 / H 股指數期貨 / 恆生科指期貨	港幣	90	150
港交所恆生指數期權 / H 股指數期權 / 恆生科指期權	港幣	90	150
港交所小型恆生指數期貨及期權 / 小型 H 股指數期貨及期權	港幣	60	100
*佣金不包括交易所費用			

環球期貨			
佣金收費#(每張合約單邊計)	貨幣	即日平倉	非即日平倉
美國期貨			
紐約商品交易所期貨	美元	30	35
紐約商業交易所期貨	美元	30	35
紐約貿易局期貨	美元	30	35
芝加哥商品交易所期貨	美元	30	35
芝加哥期貨交易所期貨	美元	30	35
歐洲期貨			
ICE 歐洲期貨交易所期貨以英鎊為單位	英鎊	30	35
亞太區期貨			
新加坡交易所期貨以美元為單位	美元	30	35
新加坡交易所期貨以人民幣為單位	人民幣	50	70
新加坡交易所期貨以新加坡幣為單位	新加坡幣	30	35
#1. 佣金不包括交易所費用; 2. 電話報單不徵收額外附加費			

利息收費表		
帳戶類別	貨幣	欠款利息
證券戶口 (現金)	港幣	基本利率 + 9%
	港幣以外其他貨幣	基本利率 + 9%
證券戶口 (孖展)	港幣(借貸低於可按股票價值)	基本利率 + 3%
	港幣(借貸低於市場價值)	基本利率 + 6%
	港幣(借貸超過市場價值)	基本利率 + 9%
	港幣以外其他貨幣(借貸低於可按股票價值)	基本利率 + 3%
	港幣以外其他貨幣(借貸低於市場價值)	基本利率 + 6%
	港幣以外其他貨幣(借貸超過市場價值)	基本利率 + 9%
期貨戶口	港幣	基本利率 + 9%
	港幣以外其他貨幣	基本利率 + 9%



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帳戶類別	貨幣	結存利息
證券 (所有類別)	港幣	0.01%
	港幣以外其他貨幣	0%
期貨戶口	港幣及其他貨幣	0%

其他服務收費表		
其他服務		
退票	每張 HK\$150	
終止支票付款	每張 HK\$200	
匯款-撥款式轉賬至本地戶口(CHATS)	每筆款項: 港元 250 HKD ; 人民幣 220 RMB; 美元 35 USD	
匯款-電匯至海外戶口(T/T)	每筆款項: 港元 250 HKD ; 人民幣 220 RMB; 美元 45 USD	
FPS	每筆款項: 免費 (每筆款項金額不超過 1,000,000 HKD)	
郵寄結單	每月 HK\$20	
補發日/月結單 - 首三個月內	每份 HK\$30	
補發日/月結單 - 超過三個月	每份 HK\$50	
審計確認函	每份 HK\$300	

備註:

1. 以上收費僅供參考, 並未包括本公司提供的所有服務。
2. 所有行使企業行動(包括但不限於股息選擇、投票指示), 閣下須主動聯絡本公司代為安排。
3. 債券收費表不適用於零售債券產品, 例如: 通脹掛鈎債券。
4. 結構性產品及基金收費表適用於標準交易及服務, 非標準交易及服務的收費將會按個別情況磋商處理。
5. 債券及結構性產品的價值按本公司上游託管行或發行人提供之價格計算。
6. 閣下所下認購指令一經提交, 將不能更改、撤銷, 然而, 本公司有絕對酌情權考慮是否接受閣下提出的更改、撤銷, 惟閣下將可能需承擔相關取消/更改手續費, 及因融資安排所引致的相關利息支出。
7. 除非另有指明, 當進行外匯交易時, 本司一般會擔當主事人角色。閣下也應該注意在交易以非交易貨幣結算的證券時, 須瞭解及承擔所涉及的貨幣風險 (包括但不限於匯率波動) 以及相關的外匯交易成本。
8. 顯示的產品或交易代碼(如有)僅供展示民銀證券所提供的產品及參考, 不旨在推薦或招徠特定的行動、服務或產品。該資訊僅針對一般情況, 不考慮您的特定需求或情況。因此, 在做出任何財務決策前, 您應考慮自身的財務狀況、目標及要求, 如有必要, 請尋求專業的財務意見。
9. 本公司可在不須預先通知而隨時修訂此收費表之內容。
10. 如有任何查詢歡迎致電本公司 +852 3728 8000。

更新日期: 2025年6月25日



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Effective Date: 25 June, 2025

Hong Kong Stock Fee Schedule	
Trading Fees	
E-channel brokerage commission	0.25% of the consideration (Minimum: HK\$100)
Telephone order / odd lots commission	0.25% of the consideration (Minimum: HK\$100)
Stamp duty	0.1% of the consideration (Round up to nearest dollar)
Transaction levy (SFC)	0.0027% of the consideration
AFRC transaction levy	0.00015% of the consideration (Rounded to the nearest cent)
HKEX trading fee	0.00565% of the consideration
CCASS settlement fee	0.002% of the consideration (Minimum: HK\$2 and Maximum: HK\$100)
Custody Services	
Deposit: Physical stock	Stamp duty HK\$5 per transfer deed
S. I. / I. S. I.	Waived
Withdrawal: Physical stock	HK\$3.5 is collected on behalf of CCASS (No upper limit), plus handling fee: HK\$100 per stock
S. I. / I. S. I.	HK\$1.5 per board lot (Odd lots: HK\$1.5) Minimum: HK\$100 and Maximum: HK\$500
Compulsory share buyback	0.5% of the buy-in consideration (Minimum: HK\$100) and applicable transaction charges, plus CCASS penalty (0.25% of transaction amount calculated on T+2 closing price)
Nominee Services	
Scrip fee	HK\$1.5 per board lot (Odd lots: HK\$1.8, is collected on behalf of CCASS, no upper limit)
Collection of cash dividend	Handling fee: 0.22% of gross dividend collected Minimum: HK\$30 and Maximum: HK\$10,000
Collection of bonus / rights / scrip dividend	Handling fee: HK\$50
Corporate Actions	
Subscription or exercise of rights / warrants; takeover, privatization, and other offers	HK\$1 per board lot (Odd lots: HK\$1) plus handling fee of HK\$100 per event, and stamp duty when applicable (Maximum: HK\$5,000)
Claims on Entitlements	
Claim on dividend, bonus issue, rights, or other shareholder entitlements	HK\$500 per claim plus service charges and disbursements levied by nominee and counter-broker(s)
Italian Financial Transaction Tax	
(Collected for Italian government)	0.1% of the net purchase amount
Others	
IPO application	HK\$100 per application

US Stock Fee Schedule	
US Stock Trading (applicable to US stock accounts opened on or before September 27, 2024)	
Commission	USD 0.0049 per share (min. USD 5)
Platform fee	USD 0.005 per share (min. USD 1)
Telephone order	USD 0.012 per share (min. USD 10)
SEC Levy (for sell order only)	0.00278% of Transaction amount (min. USD 0.01) (Cancel at 14 May 2025)
Trading Activity Fees (for sell order only)	USD 0.000166 per share (min. USD 0.01)
Consolidated Audit Trading Fee	USD 0.000035 per share (min. USD 0.01)
US Stock Trading (applicable to US stock accounts opened after September 27, 2024)	
Commission	Gross Amount 0.50% (min. USD 10)
Telephone order	Gross Amount 0.50% (min. USD 10)
SEC Levy (for sell order only)	0.00278% of Transaction amount (min. USD 0.01) (Cancel at 14 May 2025)
Trading Activity Fees (for sell order only)	USD 0.000166 per share (min. USD 0.01)
Consolidated Audit Trading Fee	USD 0.000035 per share (min. USD 0.01)
Depository Services (USD)	
Safe Custody Fee	Waived
Stock deposit (via Depository Trust Company DTC)	USD 10 per stock per transaction (Our company does not provide physical stock storage services)
Stock Withdrawal (via Depository Trust Company DTC)	USD 150 per stock per transaction
Withdrawal Fee for Physical Scrip (Subject to approval by American Depository Trust Company)	USD 150 per stock per transaction + USD 500 Agency fee per stock per transaction



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Nominee Service (USD)	
Stock Dividend Collection Fee	USD 2 per event
Cash Dividend Collection Fee	USD 2 per event
Voluntary Corporate Action	USD 50 per event
ADR Depository Fee (Imposed by DR Custodian Agents)	USD 0.01-0.05 per share (subject to the rate charged by DR custodian agents)
Dividend Tax	30% of Gross Dividend

Remark: • On the same day, the same US stock was traded multiple times in the same direction. Such transactions cannot be combined and it counted as multiple platform fee: if the same order is traded across multiple days, such transactions cannot be combined and are counted as multiple orders.

SZ-HK/SH-HK Stock Connect Fee Schedule

Trading Fees	
Brokerage commission	0.1% of the consideration (Minimum: CNY100)
Stamp duty (charged by SAT)	0.05% of the consideration of a transaction on the seller
Handling fee (charged by SSE / SZSE)	0.00341% of the consideration of a transaction per side
Management fee (charged by CSRC)	0.002% of the consideration of a transaction per side
Transfer fee (charged by HKSCC)	0.002% of the consideration of a transaction per side
Transfer fee (charged by ChinaClear-Shanghai / ChinaClear-Shenzhen)	0.001% of the consideration of a transaction per side
Portfolio fee (charged by CCASS)	Stock portfolio value x 0.008% / 365 (Accrued daily and collected on a monthly basis)
Custody Services	
Deposit: S. I.	Waived
Withdrawal: S. I.	CNY1.5 per board lot (Odd lots: CNY1.5) Minimum: CNY100
Nominee Services	
Collection of cash dividend	Handling fee: 0.20% of gross dividend collected Minimum: CNY20 and Maximum: CNY5,000
Collection of bonus / rights / scrip dividend	Handling fee: CNY50
Corporate Actions	
Subscription or exercise of rights / warrants; takeover, privatization, and other offers	CNY 3 per board lot (Odd lots: CNY 3) plus handling fee of CNY100 per event, and stamp duty when applicable (Maximum: CNY5,000)

Bonds Fee Schedule

Trading Fees	
Commission	No more than 3% of notional amount
Transaction levy	0.0027% of the consideration
AFRC transaction levy (SFC-for Chapter 37 Debts Securities only)	0.00015% of the consideration (Rounded to the nearest cent)
HKEX trading fee (including Initial Public Offer Share and preferential offer for Initial Public Offer Share)	0.00565% of the consideration
Others	
Custodian fee	Calculated monthly at 0.02% per annum based on the value of the portfolio (Minimum:HK\$50/USD5/CNY40/EUR5)
Transfer fee	HK\$100 per transaction of USD2M or less HK\$200 per transaction above USD2M (Transfer fee is waived for deposit)
Conversion fee	HK\$500 per transaction

Structured Product Fee Schedule

Trading Fees	
Commission	No more than 3% of notional amount
Others	
Custodian fee	Calculated monthly at 0.02% per annum based on the value of the portfolio (Minimum:HK\$50/USD5/CNY40/EUR5)
Transfer fee	HK\$100 per transaction of USD2M or less HK\$200 per transaction above USD2M (Transfer fee is waived for deposit)
Stamp duty	0.1% of the consideration (Round up to nearest dollar)



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Funds / Alternative Investment Fee Schedule

Trading Fees

Subscription fee	Subject to the terms and conditions of the fund
Conversion fee	Subject to the terms and conditions of the fund
Redemption fee	Subject to the terms and conditions of the fund

Nominees Service

Transfer fee	HK\$100 per transaction of USD2M or less HK\$200 per transaction above USD2M (Transfer fee is waived for deposit)
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Local Futures

Standard Commission Rates (per contract per side)*	Currency	Intraday	Overnight
HKEX HSI / HHI / Tech Index Futures	HKD	90	150
HKEX HSI / HHI Futures / Tech Index Options	HKD	90	150
HKEX MHI Futures & Options / MCH Futures & Options	HKD	60	100
*Exclusive of Exchange & Clearing Fee			

Global Futures

Standard Commission Rates (per contract per side)#	Currency	Intraday	Overnight
U.S. Futures			
COMEX Futures	USD	30	35
NYMEX Futures	USD	30	35
NYBOT Futures	USD	30	35
CME Futures	USD	30	35
CBOT Futures	USD	30	35
European Futures			
ICE European Futures in GBP	GBP	30	35
Asia Pacific Futures			
SGX Futures in USD	USD	30	35
SGX Futures in CNY	CNY	50	70
SGX Futures in SGD	SGD	30	35
#1.Commissions do not include exchange fees; 2.No additional surcharges are levied for telephone orders			

Interest

Type of account	Currency	Debit Interest	Balance Interest
Cash Account	HKD	Base Rate + 9%	0.01%
	Currency other than HKD	Base Rate + 9%	0%
Margin Account	HKD (Cover by Margin Value)	Base Rate + 3%	0.01%
	HKD (Cover by Market Value)	Base Rate + 6%	0.01%
	HKD (Uncover by Market Value)	Base Rate + 9%	0.01%
	Currency other than HKD (Cover by Margin Value)	Base Rate + 3%	0%
	Currency other than HKD (Cover by Market Value)	Base Rate + 6%	0%
	Currency other than HKD (Uncover by Market Value)	Base Rate + 9%	0%
Futures Account	HKD	Base Rate + 9%	0%
	Currency other than HKD	Base Rate + 9%	0%

Base Rate = Prime Lending Rate or Cost of Funding of CMBC Securities Company Limited or CMBC International Futures Company Limited, whichever is higher



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other services Fee Schedule (cont'd)

Other Services

Returned cheque	HK\$150 per cheque
Stop cheque payment	HK\$200 per cheque
Remittance - CHATS to local account	HK\$250 / RMB\$220 / USD\$35 per instruction
Remittance - telegraphic transfer to overseas account	HKD\$250 / RMB\$220 / USD\$45 per instruction
FPS	Free of charge (maximum amount per instruction: HKD 1,000,000)
Account statement postage	HK\$20 per month
Re-issue of daily / monthly statement - 1 to 3 months	HK\$30 per set
Re-issue of daily / monthly statement - over 3 months	HK\$50 per set
Audit confirmation	HK\$300 per set

Remarks:

1. The above Fee Schedule is for reference only and does not cover all services we offer.
2. Exercise of any corporate actions (including but not limited to cash or dividend election, voting instruction) should be at the request of the client.
3. The Bonds Fee Schedule is NOT applicable to retail bonds, e.g. iBond
4. The Structured Product and Funds Schedules are applicable to standard transactions and services, fees of non-standard transactions and services will be negotiated on a case-by-case basis.
5. The values of Bonds and Structured Products are calculated based on the price provided by our upstream custodian or issuer.
6. Once client has submitted IPO subscription, it cannot be altered/cancelled. We reserve the absolute discretionary right to consider whether accept or not accept client's request of alteration/cancellation. However, Customer may be liable for cancellation/change charges AND relevant interest expense incurred from financing arrangement of the application.
7. Unless stated otherwise, CMBC Securities generally acts as the principal when handling foreign exchange. When trading securities that are settled in a currency other than its trading currency, you should be aware of the currency risk involved (including but not limited to exchange rate fluctuations) and the foreign exchange costs associated.
8. The products or trading codes displayed (if any) are for reference only and provided by Minyin Securities. They do not constitute a recommendation or solicitation for specific actions, services, or products. This information is compiled based on general circumstances and does not take into account your individual needs or financial situation. Therefore, before making any financial decisions, you should carefully evaluate your own financial status, investment objectives, and risk tolerance. Seek professional financial advice if necessary.
9. We may revise the contents at our discretion and without prior notification to you.
10. For further enquiries, please contact us at +852 3728 8000.

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