民銀證券有限公司 CMBC Securities Company Limited
民銀國際期貨有限公司 CMBC International Futures Company Limited
Principal office: 45/F One Exchange Square, 8 Connaught Place, Central, Hong Kong
主要辦公室: 香港中環康樂廣場8號交易廣場一期45樓
Tel. 電 話: +852 3728 8000 Fax 傳 真: +852 3753 3688 Website 網址: https://www.cmbccap.com

生效日期：二零二六年一月一日

香港股票收費表

交易費用	
交易佣金-電子交易	成交額的0.25%（最低收費：HK$100）
交易佣金-人工下單 / 碎股交易佣金	成交額的0.25%（最低收費：HK$100）
印花稅	成交額的0.1%（不足HK$1亦作HK$1計算）
交易徵費（證監會）	成交額的0.0027%
會財局交易徵費	成交額的0.00015%（計至最接近的仙位數）
交易費（港交所）	成交額的0.00565%
結算所交收費	成交額的0.002%（最低收費：HK$2，最高收費：HK$100）
存管服務	
存貨：實物股票	每張轉手紙釐印費HK$5
交收指示 / 投資者交收指示	豁免
提貨：實物股票	每手5港元，另加每隻股票50港元
交收指示 /投資者交收指示	每隻股票上日收市價計算股票總值0.05%，最低200港元
強制性補購股份	補購金額的0.5%（最低收費：HK$100）及各項交易收費， 另加香港中央結算罰款（以T+2收市價計算之交易額的 25%）
代理人服務	
登記及過戶	每手HK$1.50 (碎股視作一手計算，代香港中央結算收取，不設上限)
收取現金股息	股息總值的0.22%，最低：HK$30，最高收費：HK$10,000
收取紅股/供股權/以股代息	每次HK$50
企業行動	
認購及行使供股權證 / 認股權證； 收購、私有化等	每手HK$1（碎股視作一手計算），最高收費：HK$5,000 另加手續費每宗HK$100及印花稅（如適用）
追收股東權益	
追收股息、紅股、供股權及其他股東權益	每隻股票HK$500，每項追收股息，及其他有關之費用 (追收成功與否均需繳付)
代收義大利金融交易稅	
適用於香港上市之義大利公司	買盤淨金額的0.1%
其他	
新股認購手續費	每宗申請HK$100

美國股票收費表

交易費用	**（以下收費只適用於2024年9月27日或以前開通美股的賬戶）**
交易佣金-電子交易	每股收費0.0049美元（最低5美元）
平臺費	每股收費0.005美元（最低1美元）
交易佣金-人工下單	每股收費0.012美元（最低10美元）
美國證監會規費(僅賣出時收費)	交易金額的0.00278%（最低0.01美元)(於2025年5月14日取消)
交易活動費（僅賣出時收費）	每股收費0.000166美元（最低0.01美元）
綜合審計追蹤費用	每股收費0.000035美元（最低0.01美元）
交易費用	**（以下收費只適用於2024年9月27日以後開通美股的賬戶）**
交易佣金-電子交易	成交額的0.50%（最低收費:10美元）
交易佣金-人工下單	成交額的0.50%（最低收費:10美元）
美國證監會規費(僅賣出時收費)	交易金額的0.00278%（最低0.01美元)(於2025年5月14日取消)
交易活動費（僅賣出時收費）	每股收費0.000166美元（最低0.01美元）
綜合審計追蹤費用	每股收費0.000035美元（最低0.01美元）

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存管服務	
存貨: 證券保管服務	豁免
股票存入(經美國存管信託公司 DTC) (本公司不提供實物股票存倉服務)	每隻股票每宗收費10美元
提貨: 股票提取(經美國存管信託公司 DTC)	每隻股票每宗收費150美元
股票實物提取 (需經美國存管信託公司批准)	每隻股票每宗收費150美元 +每隻股票每宗代理收費500美元
代理人服務	
代收紅股	每宗2美元
代收股息/ 以股代息	每宗2美元
自願性公司行動	每宗50美元
美國預托證券存管費(經美國存管信託公司)	每股0.01-0.05美元(視乎美國存管信託公司決定)
股息稅	股息之30%

備註：當日對同一只美股進行多次同方向交易，該類交易不可以合單，以多筆訂單計; 同一筆訂單跨日成交的交易，該類交易不可以合單，以多筆訂單計。

滬股通/ 深股通股票收費表	
交易費用	
交易佣金	成交額的 0.1%（最低收費: CNY 100）
交易印花稅(國家稅務總局)	只收取賣方成交額的 0.05%
經手費(上交所/深交所收取)	成交額的 0.00341%
證管費(中國證監會收取)	成交額的 0.002%
過戶費(香港結算收取)	成交額的 0.002%
過戶費(中國結算上海/深圳收取)	成交金額 0.001%
證券組合費(香港結算)	持有股值 x 0.008% / 365 日（每日計算，按月收取）
存管服務	
存貨 : 交收指示 / 投資者交收指示	豁免
提貨 : 交收指示 /投資者交收指示	每每隻股票上日收市價計算股票總值 0.05% , 最低 CNY 200
代理人服務	
收取現金股息	股息總值的 0.20% 最低收費 CNY 20；最高收費 CNY 5,000
收取紅股/供股權/以股代息	每次 CNY 50
企業行動	
認購及行使供股權證 / 認股權證；收購、私有化等	每手 CNY 3（碎股視作一手計算）， 另加手續費每宗 CNY 100 及印花稅（如適用)最高收費 CNY 5,000

英國股票	
交易費用	
交易佣金	成交額的 0.50%（最低收費:80 英鎊）
印花稅	成交額的 0.50%（只使用於買盤）
交易徵費	PLM Levy: 定額 1.00 英鎊（只適用於部分股票及交易金額超過 10,000 英鎊）
其他	
託管費	免費

韓國股票	
交易費用	
交易佣金	成交額的 0.50%（最低收費:25,000 韓圜）
印花稅	成交額的 0.20%（只適用於沽盤）
其他	
託管費	免費

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債券收費表	
交易費用	
佣金	銷售利潤不超過名義面值的 3%
交易徵費 會財局交易徵費 (證監會-適用於港交所《主機板上市規則》第三十七章上市債券的交易)	成交額的 0.0027% 成交額的 0.00015% (計至最接近的仙位數)
交易費 (港交所-適用於首次公開發售股份和優先發售股份)	成交額的 0.00565%
其他	
託管費	按組合價值之 0.02% (每月按幣別收取) 每月最低收費：HKD 50/USD 5/CNY 40/EUR5
轉倉費	200 萬美元或以內每宗港幣 100 200 萬美元以上每宗港幣 200 (存入可豁免收費)
債轉股費用	每筆港幣 500

結構性產品收費表	
交易費用	
佣金	銷售利潤不超過名義面值的 3%
其他	
託管費	按組合價值之 0.02% (每月按幣別收取) 每月最低收費：HKD 50/USD 5/CNY 40/EUR5
提取費	200 萬美元或以內每宗港幣 100 200 萬美元以上每宗港幣 200 (存入可豁免收費)
代收印花稅	交收股票金額的 0.1% (不足 HK$1 亦作 HK$1 計算)

基金/另類投資收費表	
買賣相關服務	
認購費	受基金的條款及細則所限制
轉換費	受基金的條款及細則所限制
贖回費	受基金的條款及細則所限制
代理人服務	
提取費	200 萬美元或以內每宗港幣 100 200 萬美元以上每宗港幣 200 (存入可豁免收費)

本地期貨			
佣金收費*(每張合約單邊計)	貨幣	即日平倉	非即日平倉
港交所恆生指數期貨 / H 股指數期貨 / 恆生科指期貨	港幣	90	150
港交所恆生指數期權 / H 股指數期權 / 恆生科指期權	港幣	90	150
港交所小型恆生指數期貨及期權/ 小型 H 股指數期貨及期權	港幣	60	100
*佣金不包括交易所費用			
環球期貨			
佣金收費#(每張合約單邊計)	貨幣	即日平倉	非即日平倉
美國期貨			
紐約商品交易所期貨	美元	30	35
紐約商業交易所期貨	美元	30	35
紐約貿易局期貨	美元	30	35
芝加哥商品交易所期貨	美元	30	35
環球期貨			
佣金收費#(每張合約單邊計)	貨幣	即日平倉	非即日平倉
芝加哥期貨交易所期貨	美元	30	35
歐洲期貨			
ICE 歐洲期貨交易所期貨以英鎊為單位	英鎊	30	35
亞太區期貨			
新加坡交易所期貨以美元為單位	美元	30	35

新加坡交易所期貨以人民幣為單位	人民幣	50	70
新加坡交易所期貨以新加坡幣為單位	新加坡幣	30	35
#1.佣金不包括交易所費用； 2.電話報單不徵收額外附加費			

利息收費表

帳戶類別	貨幣	欠款利息
證券戶口（現金）	港幣	基本利率 + 9%
	港幣以外其他貨幣	基本利率 + 9%
證券戶口（孖展）	港幣(借貸低於可按股票價值)	基本利率 + 3%
	港幣(借貸低於市場價值)	基本利率 + 6%
	港幣(借貸超過市場價值)	基本利率 + 9%
	港幣以外其他貨幣(借貸低於可按股票價值)	基本利率 + 3%
	港幣以外其他貨幣(借貸低於市場價值)	基本利率 + 6%
	港幣以外其他貨幣(借貸超過市場價值)	基本利率 + 9%
期貨戶口	港幣	基本利率 + 9%
	港幣以外其他貨幣	基本利率 + 9%
帳戶類別	**貨幣**	**結存利息**
證券（所有類別）	港幣	0.01%
	港幣以外其他貨幣	0%
期貨戶口	港幣及其他貨幣	0%

基準利率 = 民銀證券有限公司和民銀國際期貨有限公司的基準貸款利率或融資成本，兩者之中較高者。

闲置账户（不动户）收费表

適用於各種帳戶類別

帳戶連續 3 年 無任何交易或資金活動	每月收取 港元 200 元 行政費
帳戶連續 5 年 無任何交易或資金活動	每月收取 港元 500 元 行政費

其他服務收費表

其他服務

退票	每張 HK$150
終止支票付款	每張 HK$200
匯款-撥款式轉賬至本地戶口(CHATS)	每筆款項: 港元 250 HKD
匯款-電匯至海外戶口(T/T)	每筆款項: 港元 250 HKD ;人民幣 220 RMB： 美元 45 USD
FPS	每筆款項: 免費（每筆款項金額不超過 1,000,000 HKD ）
郵寄結單	每月 HK$20
補發日/月結單 - 首三個月內	每份 HK$30
補發日/月結單 - 超過三個月	每份 HK$50
審計確認函	每份 HK$300

備註：

1. 以上收費僅供參考，並未包括本公司提供的所有服務。
2. 所有行使企業行動(包括但不限於股息選擇、投票指示)，閣下須主動聯絡本公司代為安排。
3. 債券收費表不適用於零售債券產品，例如：通賬掛鈎債券。
4. 結構性產品及基金收費表適用於標準交易及服務，非標準交易及服務的收費將會按個別情況磋商處理。
5. 債券及結構性產品的價值按本公司上游託管行或發行人提供之價格計算。
6. 閣下所下認購指令一經提交，將不能更改、撤銷，然而，本公司有絕對酌情權考慮是否接受閣下提出的更改、撤銷，惟閣下將可能需承擔相關取消/更改手續費，及因融資安排所引致的相關利息支出。
7. 除非另有指明，當進行外匯交易時，本司一般會擔當主事人角色。閣下也應該注意在交易以非交易貨幣結算的證券時，須瞭解及承擔所涉及的貨幣風險（包括但不限於匯率波動）以及相關的外匯交易成本。
8. 顯示的產品或交易代碼(如有)僅供展示民銀證券所提供的產品及參考，不旨在推薦或招徠特定的行動、服務或產品。該資訊僅針對一般情況，不考慮您的特定需求或情況。因此，在做出任何財務決策前，您應考慮自身的財務狀況、目標及要求，如有必要，請尋求專業的財務意見。
9. 本公司可在不須預先通知而隨時修訂此收費表之內容。
10. 如有任何查詢歡迎致電本公司 +852 3728 8130

更新日期：2026年1月1日

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Effective Date: 2025.11.05

Hong Kong Stock Fee Schedule

Trading Fees	
E-channel brokerage commission	0.25% of the consideration (Min. HK$100)
Telephone order / odd lots commission	0.25% of the consideration (Min. HK$100)
Stamp duty	0.1% of the consideration (Round up to nearest dollar)
Transaction levy (SFC)	0.0027% of the consideration
AFRC transaction levy	0.00015% of the consideration (Rounded to the nearest cent)
HKEX trading fee	0.00565% of the consideration
CCASS settlement fee	0.002% of the consideration (Min. HK$2 and Max.: HK$100)
Custody Services	
Deposit: Physical stock	Stamp duty HK$5 per transfer deed
S. I. / I. S. I.	Waived
Withdrawal: Physical stock	HK$5 is collected on behalf of CCASS (No upper limit), plus handling fee: HK$50 per stock
S. I. / I. S. I.	0.05% of stock value (based on prior closing price), Min. HKD 200.
Compulsory share buyback	0.5% of the buy-in consideration (Min. HK$100) and applicable transaction charges, plus CCASS penalty (0.25% of transaction amount calculated on T+2 closing price)
Nominee Services	
Scrip fee	HK$1.5 per board lot (Odd lots: HK$1.8, is collected on behalf of CCASS, no upper limit)
Collection of cash dividend	Handling fee: 0.22% of gross dividend collected Min.HK$30 and Max.: HK$10,000
Collection of bonus / rights / scrip dividend	Handling fee: HK$50
Corporate Actions	
Subscription or exercise of rights / warrants; takeover, privatization, and other offers	HK$1 per board lot (Odd lots: HK$1) plus handling fee of HK$100 per event, and stamp duty when applicable (Max.: HK$5,000)
Claims on Entitlements	
Claim on dividend, bonus issue, rights, or other shareholder entitlements	HK$500 per claim plus service charges and disbursements levied by nominee and counter-broker(s)
Italian Financial Transaction Tax	
(Collected for Italian government)	0.1% of the net purchase amount
Others	
IPO application	HK$100 per application

US Stock Fee Schedule

US Stock Trading	**(applicable to US stock accounts opened on or before September 27, 2024)**
Commission	USD 0.0049 per share (Min. USD 5)
Platform fee	USD 0.005 per share (Min. USD 1)
Telephone order	USD 0.012 per share (Min. USD 10)
SEC Levy (for sell order only)	0.00278% of Transaction amount (Min. USD 0.01) (Cancel at 14 May 2025)
Trading Activity Fees (for sell order only)	USD 0.000166 per share(Min. USD 0.01)
Consolidated Audit Trading Fee	USD 0.000035 per share(Min. USD 0.01)
US Stock Trading	**(applicable to US stock accounts opened after September 27, 2024)**
Commission	Gross Amount 0.50% (Min. USD 10)
Telephone order	Gross Amount 0.50% (Min. USD 10)
SEC Levy (for sell order only)	0.00278% of Transaction amount (Min. USD 0.01) (Cancel at 14 May 2025)
Trading Activity Fees (for sell order only)	USD 0.000166 per share(Min. USD 0.01)
Consolidated Audit Trading Fee	USD 0.000035 per share(Min. USD 0.01)
Depository Services (USD)	
Safe Custody Fee	Waived
Stock deposit (via Depository Trust Company DTC)	USD 10 per stock per transaction (Our company does not provide physical stock storage services)
Stock Withdrawal (via Depository Trust Company DTC)	USD 150 per stock per transaction
Withdrawal Fee for Physical Scrip (Subject to approval by American Depository Trust Company)	USD 150 per stock per transaction + USD 500 Agency fee per stock per transaction

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Nominee Service (USD)	
Stock Dividend Collection Fee	USD 2 per event
Cash Dividend Collection Fee	USD 2 per event
Voluntary Corporate Action	USD 50 per event
ADR Depository Fee (Imposed by DR Custodian Agents)	USD 0.01-0.05 per share (subject to the rate charged by DR custodian agents)
Dividend Tax	30% of Gross Dividend

Remark: • On the same day, the same US stock was traded multiple times in the same direction. Such transactions cannot be combined and it counted as multiple platform fee: if the same order is traded across multiple days, such transactions cannot be combined and are counted as multiple orders.

SZ-HK/SH-HK Stock Connect Fee Schedule	
Trading Fees	
Brokerage commission	0.1% of the consideration (Min. CNY 100)
Stamp duty (charged by SAT)	0.05% of the consideration of a transaction on the seller
Handling fee (charged by SSE / SZSE)	0.00341% of the consideration of a transaction per side
Management fee (charged by CSRC)	0.002% of the consideration of a transaction per side
Transfer fee (charged by HKSCC)	0.002% of the consideration of a transaction per side
Transfer fee (charged by ChinaClear-Shanghai / ChinaClear-Shenzhen)	0.001% of the consideration of a transaction per side
Portfolio fee (charged by CCASS)	Stock portfolio value x 0.008% / 365 (Accrued daily and collected on a monthly basis)
Custody Services	
Deposit: S. I.	Waived
Withdrawal: S. I.	0.05% of stock value (based on prior closing price), Min. CNY 200
Nominee Services	
Collection of cash dividend	Handling fee: 0.20% of gross dividend collected Min. CNY 20 and Max.: CNY 5,000
Collection of bonus / rights / scrip dividend	Handling fee: CNY 50
Corporate Actions	
Subscription or exercise of rights / warrants; takeover, privatization, and other offers	CNY 3 per board lot (Odd lots: CNY 3) plus handling fee of CNY 100 per event, and stamp duty when applicable (Max.: CNY 5,000)

U.K. Shares	
Trading Fees	
Commission	0.50% of the consideration (Min. GBP 80)
Stamp duty (For BUY trade only)	0.50% of the consideration
Transaction levy	PLM Levy: Fixed fee of GBP 1.00 (Applicable only to certain stocks and transaction amounts exceeding GBP 10,000)
Others	
Custody Fee	Free

South Korea Shares	
Trading Fees	
Commission	0.50% of the consideration (Min. KRW 25,000)
Stamp duty (SELL trade only)	0.20% of the consideration
Others	
Custody Fee	Free

Bonds Fee Schedule	
Trading Fees	
Commission	No more than 3% of notional amount
Transaction levy AFRC transaction levy (SFC-for Chapter 37 Debts Securities only)	0.0027% of the consideration 0.00015% of the consideration (Rounded to the nearest cent)
HKEX trading fee (Including Initial Public Offer Share and preferential offer for Initial Public Offer Share)	0.00565% of the consideration
Others	
Custodian fee	Calculated monthly at 0.02% per annum based on the value of the portfolio (Min.HK$50/USD 5/CNY 40/EUR5)
Transfer fee	HK$100 per transaction of USD 2M or less

	HK$200 per transaction above USD 2M (Transfer fee is waived for deposit)
Conversion fee	HK$500 per transaction

Structured Product Fee Schedule	
Trading Fees	
Commission	No more than 3% of notional amount
Others	
Custodian fee	Calculated monthly at 0.02% per annum based on the value of the portfolio (Min.HK$50/USD 5/CNY 40/EUR5)
Transfer fee	HK$100 per transaction of USD 2M or less HK$200 per transaction above USD 2M (Transfer fee is waived for deposit)
Stamp duty	0.1% of the consideration (Round up to nearest dollar)

Funds / Alternative Investment Fee Schedule	
Trading Fees	
Subscription fee	Subject to the terms and conditions of the fund
Conversion fee	Subject to the terms and conditions of the fund
Redemption fee	Subject to the terms and conditions of the fund
Nominees Service	
Transfer fee	HK$100 per transaction of USD 2M or less HK$200 per transaction above USD 2M (Transfer fee is waived for deposit)

Local Futures			
Standard Commission Rates (per contract per side)*	Currency	Intraday	Overnight
HKEX HSI / HHI / Tech Index Futures	HKD	90	150
HKEX HSI / HHI Futures / Tech Index Options	HKD	90	150
HKEX MHI Futures & Options / MCH Futures & Options	HKD	60	100
*Exclusive of Exchange & Clearing Fee			

Global Futures			
Standard Commission Rates (per contract per side)#	Currency	Intraday	Overnight
U.S. Futures			
COMEX Futures	USD	30	35
NYMEX Futures	USD	30	35
NYBOT Futures	USD	30	35
CME Futures	USD	30	35
CBOT Futures	USD	30	35
European Futures			
ICE European Futures in GBP	GBP	30	35
Asia Pacific Futures			
Global Futures			
SGX Futures in USD	USD	30	35
SGX Futures in CNY	CNY	50	70
SGX Futures in SGD	SGD	30	35
#1.Commissions do not include exchange fees; 2.No additional surcharges are levied for telephone orders			

Interest			
Type of account	**Currency**	**Debit Interest**	**Balance Interest**
Cash Account	HKD	Base Rate + 9%	0.01%
	Currency other than HKD	Base Rate + 9%	0%

Margin Account	HKD (Cover by Margin Value)	Base Rate + 3%	0.01%
	HKD (Cover by Market Value)	Base Rate + 6%	0.01%
	HKD (Uncover by Market Value)	Base Rate + 9%	0.01%
	Currency other than HKD (Cover by Margin Value)	Base Rate + 3%	0%
	Currency other than HKD (Cover by Market Value)	Base Rate + 6%	0%
	Currency other than HKD (Uncover by Market Value)	Base Rate + 9%	0%
Futures Account	HKD	Base Rate + 9%	0%
	Currency other than HKD	Base Rate + 9%	0%

Base Rate = Prime Lending Rate or Cost of Funding of CMBC Securities Company Limited or CMBC International Futures Company Limited, whichever is higher

Inactive Accounts Fee Schedule

Applicable to all account types	
No trading or fund activity for 3 consecutive years	Monthly Administrative Fee: HK$ 200
No trading or fund activity for 5 consecutive years	Monthly Administrative Fee: HK$ 500

other services Fee Schedule (cont'd)

Other Services	
Returned cheque	HK$150 per cheque
Stop cheque payment	HK$200 per cheque
Remittance - CHATS to local account	HK$250 per instruction
Remittance - telegraphic transfer to overseas account	HKD $250 / RMB$220 / USD $45 per instruction
FPS	Free of charge (Max. amount per instruction: HKD 1,000,000)
Account statement postage	HK$20 per month
Re-issue of daily / monthly statement - 1 to 3 months	HK$30 per set
Re-issue of daily / monthly statement - over 3 months	HK$50 per set
Audit confirmation	HK$300 per set

Remarks:

1. The above Fee Schedule is for reference only and does not cover all services we offer.
2. Exercise of any corporate actions (including but not limited to cash or dividend election, voting instruction) should be at the request of the client.
3. The Bonds Fee Schedule is NOT applicable to retail bonds, e.g. iBond
4. The Structured Product and Funds Schedules are applicable to standard transactions and services, fees of non-standard transactions and services will be negotiated on a case-by-case basis.
5. The values of Bonds and Structured Products are calculated based on the price provided by our upstream custodian or issuer.
6. Once client has submitted IPO subscription，it cannot be altered/cancelled. We reserve the absolute discretionary right to consider whether accept or not accept client's request of alteration/cancellation. However, Customer may be liable for cancellation/change charges AND relevant interest expense incurred from financing arrangement of the application.
7. Unless stated otherwise, CMBC Securities generally acts as the principal when handling foreign exchange. When trading securities that are settled in a currency other than its trading currency, you should be aware of the currency risk involved (including but not limited to exchange rate fluctuations) and the foreign exchange costs associated.
8. The products or trading codes displayed (if any) are for reference only and provided by Minyin Securities. They do not constitute a recommendation or solicitation for specific actions, services, or products. This information is compiled based on general circumstances and does not take into account your individual needs or financial situation. Therefore, before making any financial decisions, you should carefully evaluate your own financial status, investment objectives, and risk tolerance. Seek professional financial advice if necessary.
9. We may revise the contents at our discretion and without prior notification to you.
10. For further enquiries, please contact us at +852 3728 8130.

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